

Client's Declaration

客戶聲明

本人/吾等聲明、確認及同意 I/We declare, confirm and agree that:

1. 本人/吾等確認本開戶申請表所載的資料和陳述乃真實、完整及正確,除非"創僑證券"接獲本人/吾等發出關於任何變更的書面通知,否則"創僑證券"有權就一切目的,全面信賴該等資料及陳述。 "創僑證券"獲授權隨時聯絡任何人士,包括銀行或任何信用調查機構,以查核此開戶表格所提供 之資料。

I/We confirm that the information and representations contained in this Account Opening Form are true, complete and correct, and that "CLC Securities Limited" is entitled to rely fully on such information and representations for all purposes, unless and until "CLC Securities Limited" receives notice in writing from me/us of any change. "CLC Securities Limited" is authorised at any time to contact anyone, including banks, or any credit agency, for purposes of verifying the information provided in this Account Opening Form.

- 2. 本人/吾等確認已閱讀及明白有關"創僑證券"之客戶帳戶主協議("協議書")及此開戶表格,而本人/吾等接納並同意受該等條款約束。"創僑證券"有權不時更改協議書之條款,並向本人/吾等發出通知,除非"創僑證券"收到本人/吾等取消戶口通知,否則表示本人/吾等接納該更改條款。本人/吾等亦知道在"創僑證券"網頁內會刊載了有關協議書最新版本,以供本人/吾等閱覽或下載。
 I/We confirm that I/we have read and understood the Client's Master Agreement (the "Agreement") of "CLC Securities Limited" and this Account Opening Form, and I/we hereby accept and agree to the bound by the terms and conditions. "CLC Securities Limited" may amend the terms and conditions of the Agreement(s) from time to time by giving me/us notice of such amendment. Unless "CLC Securities Limited" has received my/our request to close the account, if I/we continue to use the account I/we shall be deemed to have accepted such changes. I/We also know that we are able to read or download the up-
- 3. 本人/吾等知悉及確認已獲得提供按照本人/吾等所選擇的語言(中文或英文)之風險披露聲明,本人/吾等已獲邀閱讀此風險披露聲明,並提出問題及徵求獨立的意見(如本人/吾等有此意願)。 I/We hereby acknowledge and confirm that I/We have received the risk disclosure statements in the language of my/our choice (Chinese or English). I/we was/were invited to read the risk disclosure statements, ask questions and take independent advice if I/We wish.
- 4. 本人/吾等確認上述有關"衍生產品的認識"之資料完全真實及完整,並明白"創僑證券"將根據上述 資料介定本人/吾等是否擁有對衍生產品之認識,以符合證券及期貨事務監察委員會持牌人或註冊

to-date version of the Agreement from the website of "CLC Securities Limited".



人操守準則之有關要求。

I/We hereby confirm that the above information provided in relation to "Knowledge of Derivatives" is true and complete. I/we also understand that "CLC Securities Limited" will rely on the above information provided to assess whether I/we have acquired knowledge of derivative products in order to comply with relevant requirements of the Code of Conduct for Persons Licensed by or Registered with the Securities and Futures Commission.

5. "創僑證券"獲授權(但無義務)接受本人/吾等或獲授權人不論是親身、以書面、電話、傳真、互聯網或任何其它電信方式或電子通訊方式按照客戶協定中的規定不時作出的指示並根據該等指示行事。倘若本人/吾等希望撤回根據客戶協定中作出的授權,本人/吾等應以書面方式通知"創僑證券",在收到該項書面變更通知之前,"創僑證券"可依賴任何以傳真、電話、口頭或電子方式作出的指示。另鑒於"創僑證券"同意通過電話、傳真或其它電子方式接受本人/吾等的指示,作為對價,對於"創僑證券"及其董事、高級職員、僱員及代理人按照協議書內的任何指示行事或沒有行事而招致或遭受的任何損失或責任,本人/吾等同意彌補償他們,但由於"創僑證券"的疏忽或違約而導致的損失或責任則除外。

"CLC Securities Limited" shall be authorized (but not obliged) to accept and act in accordance with any Instructions given by me/us or the Authorized Person(s)either in person, in writing, by telephone, fax, the internet or any other form of telecommunications or electronic mode of communication made from time to time in accordance with the Client Agreement. In the event that I/we wish to withdraw the authorization under the Agreement, I/we shall notify "CLC Securities Limited" in writing and until receipt of such notice of change in writing, "CLC Securities Limited" may rely on any fax, telephone, oral and electronic Instructions given. And in consideration of "CLC Securities Limited" agreeing to accept Instructions from me/us by telephone, fax transmission or other electronic means, I/we agree to indemnify "CLC Securities Limited" and its directors, officers, employees and agents against any loss or liability that any of them may incur or suffer as a result of any of them acting or failing to act upon any Instruction given under the Agreement, save where due to the negligence or default of "CLC Securities Limited".

- 6. 本人/吾等明白本人/吾等遞交此開戶文件及"創僑證券"接納此開戶文件並非表示"創僑證券"同意 開立帳戶予本人/吾等,而"創僑證券"保留無條件拒絕本人/吾等開戶申請之權力;本人/吾等知悉 "創僑證券"或會要求本人/吾等就將買入之證券或期貨及/或期權先存入款項。
 - I/We understand that my/our submission of this document and the acceptance of such document by "CLC Securities Limited" in no way implied approval for opening of the account for me/us and that "CLC Securities Limited" reserves the unconditional right to reject my/our application. I/We further understand that I/we may be required to place a deposit on the value of the securities and/or futures and/or options to be purchased requested by "CLC Securities Limited".
- 7. 本人/吾等已參閱"創僑證券"給予客戶有關《個人資料(私隱)條例》的通知(載於客戶帳戶主協議內),並明白且同意其條款。



I/we have read the "CLC Securities Limited" Data Privacy Policy circular relating to Personal Data (Privacy) Ordinance (as contained in the Client's Master Agreement), understand and agree to its term stated therein.

- 8. 本人/吾等就常設授權書(客戶款項)/常設授權書(客戶證券)的內容已獲得解釋,並且本人/吾等明白及同意常設授權書(客戶款項)/常設授權書(客戶證券)的內容。
 - The contents of Standing Authority (Client Money) / Standing Authority (Client Securities) have been explained to me/us and I/we understand and agree with the contents of Standing Authority (Client Money)/ Standing Authority (Client Securities).
- 9. 本人/吾等知悉及確認本人/吾等必須(i)遵守因本人/吾等簽訂協定書及根據該協議書進行的任何交易而可能產生的任何稅務後果;及(ii)遵從不時有效的所有適用法律和規例,包括根據本人/吾等居住的或居籍所在的或身為其公民的司法管轄區的法律之下與購買、持有及活售證券或有關合約的資產相關的任何法律規定及外匯限制或管制規定。
 - I/We acknowledge and confirm that I/we must (i) observe any possible tax consequences arising from my/our entering into the Agreement and any transactions entered pursuant thereto; and (ii) comply with all applicable laws and regulations in force from time to time including any legal requirements and foreign exchange restrictions or exchange control requirements which are relevant to the purchase, holding or disposal of Securities or assets underlying the contract under the laws of the jurisdiction in which I/we reside, am/are domiciled or am/are a citizen.
- 10. 我/我們已同意以電子簽名的方式在開立戶口時或其他時間去簽署其他額外文件,包括但不限於《風險披露聲明》、《稅務自我證明聲明》、《常設授權》、《個人資料保障及收集聲明》以及其他相關的文件。
 - I/We have agreed to sign additional documents by electronic signature at the time of opening an account or at other times, including but not limited to Risk Disclosure Statement, Tax-Self-Certification, Standing Authority, Declaration of Data Protection and Data Collection and other relevant documents.
- 11. 本人/吾等知悉本開戶文件及客戶帳戶主協議擬定中英文版本, 兩種語言版本均具同等效力, 但應 以英文版本解譯並視為確實及具最終效力。
 - I/We acknowledge that this document and the Client's Master Agreement are drawn in English and Chinese Languages, all two versions being equally authenticated. However, the English Language version shall prevail and be deemed conclusive.